

Statement on Modern Slavery and Human Trafficking for the financial year 2016

This statement is made pursuant to section 54 of the UK Modern Slavery Act 2015 (hereinafter referred to as “Act”) and explains the steps OMV Aktiengesellschaft and its group companies (hereinafter referred to jointly as “OMV”) have taken, and are continuing to take, to ensure that modern slavery or human trafficking is not taking place within OMV’s supply chain and associated businesses.¹

1. Business Structure

OMV is an integrated, international oil and gas company with activities in “Upstream” and “Downstream”. Upstream engages in the business of oil and gas exploration, development and production and focuses on two core countries Romania and Austria and its international portfolio (Northwest Europe, Africa and Australasia, Middle East and Caspian). Downstream operates the refineries in Austria, Germany and Romania and a filling station network in Central and Southeastern Europe and Turkey (Downstream Oil). Downstream Gas engages in gas transit through and transport within Austria, as well as in gas storage, marketing and trading. The power business extends the gas value chain into gas-fired power plants.

2. OMV’s relevant Policies regarding Human Rights

OMV strongly opposes forced labor, slavery, child labor and human trafficking. The focus on forced labor and human trafficking prevention is part of OMV’s larger effort to promote human rights. The respect for human rights has been fundamental to OMV’s activities for many years and is an essential part of the sustainability strategy.²

a. Humans Rights Policy and Matrix

OMV has implemented a human rights policy that sets out OMV’s understanding and responsibility for protection of human rights. It is the guiding principle for dealing with human rights issues in all aspects of the daily business live.³

To implement its human rights policy, OMV has mapped its responsibilities in a comprehensive human rights matrix. This matrix includes the particularly sensitive areas of slavery, child labor, human trafficking and forced labor and ensures active management of risks with respect to human rights within OMV’s supply chain.

b. OMV’s Code of Conduct

OMV is a signatory of the United Nations Global Compact (hereinafter referred to as “UNGC”) and fully committed itself to implementing the UNGC principles on human rights including the elimination of all forms of forced and compulsory labor. The UNGC principles on human rights are reflected in OMV’s Code of Conduct. It explains the manner in which OMV behaves as an organization and how OMV expects its employees, contractors and suppliers to act.⁴

To ensure the suppliers’ support of OMV’s human rights values and to mitigate the risk of forced labor, slavery and human trafficking, OMV’s supply chain partners have to sign OMV’s Code of Conduct - including the provisions on human rights - as part of their contract. OMV reserves the right to terminate the relationships with its suppliers, if issues of non-compliance

¹ Subsidiaries within OMV group that met the turnover threshold applicable under section 54 of the Act for the financial year 2016 will publish separate statements with additional details on their activity, on their supply chain and on their controls they have in place to prevent modern slavery and human trafficking.

² For more detailed information, please refer to [OMV’s Sustainability Strategy](#)

³ For more detailed information, please refer to [OMV’s Human Rights Policy Statement](#)

⁴ For more detailed information, please refer to [OMV’s Code of Conduct](#)

with OMV's Code of Conduct are discovered or non-compliance is not addressed in a timely manner.

3. Due Diligence Processes and Risk Assessment

The due diligence process in connection with the selection of suppliers comprises an assessment of potential risks with particular focus on countries where forms of modern slavery and human trafficking are prevalent.

Moreover, OMV uses tools, such as 360-degree feedback, supplier evaluations, and audits, to evaluate and monitor its suppliers' adherence to the principles outlined in OMV's Code of Conduct. For the reviewing of its main suppliers, OMV engages regularly external supply chain auditors. The selection of the suppliers to be audited is based on a broad risk assessment, including forced labor risks. Where a supplier does not act with integrity or does not have suitable controls in place, OMV will consider whether it can work with the supplier to implement improvements or needs to terminate the relations with the supplier.

4. Key Performance Indicators and Assurance Structures

OMV defined key performance indicators to measure compliance with its human right policy in order to manage and steer the performance of OMV and its supply chain partners.

OMV maintains a grievance mechanism with respect to its business activities and supply chains to detect any incidents relating to human rights in all the countries where OMV operates.

5. Training

OMV offers a group wide training program, comprising class room trainings and an e-learning tool, with the aim of increasing human rights awareness. The class room training program focuses on employees active in high risk countries.⁵

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The Executive Board



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⁵ For more detailed information, please refer to [OMV Human Rights Training](#)