Canned Tuna Brands - New Companies Questions on Human Rights in Pacific Tuna Fishing Operations and Supply Chains (2020/21)

* The term 'modern slavery' used in this survey is taken to include forced labour, slavery, human trafficking, servitude, bonded and child labour.

Company: Wild Planet Foods

Canned Tuna Brands: Wild Planet, Sustainable Seas

Human Rights Policy

Has your company made a public commitment to respect to human rights? Yes / No
If yes, please provide full details and a link.

Yes.

Please see procurement policy, which is posted on website:

https://wildplanetfoods.com/wp-content/uploads/2018/10/Wild-Planet-Procurement-Policy-2.2017_FINAL.pdf

2. If yes, does your company's commitment address **modern slavery** and does it apply throughout your supply chains? Please provide details.

Yes, Wild Planet buys tuna from small-scale vessels fishing within their own EEZs and delivering back to their home ports without transhipping. The exception to that is the albacore caught by US troll vessels operating in the South Pacific and unloading in New Zealand. The crew aboard the vessels are local employees or governmentally regulated guest foreign workers. >99% of Wild Planet tuna comes from first world fisheries namely:

US, Canada, Japan and New Zealand. These vessels operate under local first-world labor laws.

In addition to the vessel compliance with anti-slavery measures, all our tuna factories are third-party audited for social compliance with US and EU international standards for social responsibility.

3. Has your company adopted a responsible sourcing or **supplier code of conduct** that prohibits modern slavery? Yes / No

Yes.

If yes, please provide details.

Sourcing policies mentioned in reply #2 addresses responsible sourcing and the nature of the suppliers' labor standards, which preclude slavery conditions.

Human Rights Due Diligence Process

4. Has your company mapped its tuna supply chains, in whole or part? Yes / No

Yes, and they are all reviewable on Trace Register.com.

5. Does the company source tuna from the Pacific region? Yes / No

Yes.

If yes, provide location (by country).

US/Canada - FAO 67; Japan - FOA 61; New Zealand - FAO 81

6. Does your company have a **human rights due diligence** policy, process or procedure to identify, assess and manage human rights risks (actual or potential), including modern slavery, in its operations (and those of its subsidiaries) and supply chains? Yes / No

Not in addition to the precautionary sourcing criteria, which precludes slavery.

If yes, please provide details and describe the human rights due diligence process. **Key steps include**: (i) identifying and assessing human rights impacts; (ii) integrating and acting on assessment findings; (iii) tracking the effectiveness of the company's response; and (iv) communicating externally about how the company is addressing its human rights impacts.

7. Has your company taken **practical action** to ensure that modern slavery does not occur in your company's (or its subsidiaries') operations and supply chains for tuna procurement from the Pacific? If yes, please describe.

Yes, the insistence upon third-party social audits proves us with an authoritive third-party assurance of not just slavery prevention, but also a fair and equitable social environment and high health safety conditions.

Examples might include:

- i) *training* staff and management, workers, suppliers or business partners about rights, risks, responsibilities and remediation;
- ii) engaging with NGOs, fishers/ their representatives (including unions) and policy-makers;
- iii) cascading contractual clauses in supply agreements;
- iv) digital traceability of fish (across entire supply chain, or part only);
- v) prohibition on recruitment fees,
- vi) protective measures to protect against exploitation of *migrant* fishers;
- vii) prohibition on sourcing from suppliers that *transship* at sea, or use *flags of* convenience.
- viii) ensuring *freedom of association* and collective bargaining by fishers/ their representatives (including unions);
- ix) oversight of *recruitment* or labour hire entities; and
- x) independent supply chain *auditing*.

Please provide details.

8. If your company has taken steps to identify and address human rights risks, how does it (a) **prioritise** which risks to address first; and (b) assess and track the **effectiveness** of its actions and response?

Requiring third-party social audits at all factories enforces effectiveness.

Grievance Mechanism

9. Per the <u>UN Guiding Principles on Business and Human Rights</u>, does your company have a grievance/ complaints mechanism through which workers, including fishers in your supply chains, can raise concerns about human rights? Yes / No

No.

If so, can they access this in their own language and in a way that allows grievances, or concerns, to be reported safely, in confidence and without intimidation? Have any human rights concerns connected with the Pacific tuna sector been reported via your company's complaints mechanism? Please provide details.

10. Do you have a corrective or **remediation plan** if instances of modern slavery are identified in your operations, or supply chains? Can you describe it?

No.

11. How many **instances** of modern slavery has your company **identified** over the last 3 years (from and including 2018) in its own operations (including subsidiaries) or in its supply chains that relate to tuna procurement from the Pacific?

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Please describe by reference to:

- (a) Number of instances (broken down for each calendar year)
- (b) Do you know where they occurred? Please describe event(s).
- (c) How did the company respond to address the issue(s)?

Reporting

12. Does your company communicate, or **report**, externally on steps taken to address modern slavery? Yes / No

No.

If yes, please provide relevant details. This could include statements issued under the <u>UK</u> or <u>Australian</u> Modern Slavery Acts.

Other information

13. Has your company encountered **obstacles or challenges** in implementing its human rights commitments, taking practical action against modern slavery in tuna supply chains and/or in relation to any of the areas mentioned above? Yes / No

No.

If yes, please explain and provide details of any strategies to overcome them.

14. Does your company actively participate in any regional, or sectoral, multi-stakeholder initiatives that address modern slavery in fishing?

No.

If yes, please provide details.

15. Please provide any **other information** about your company's policies and practices on human rights that may be relevant.

COVID-19 impacts

16. Has the **COVID-19** pandemic affected your ability to identify, assess or respond to modern slavery risks in your tuna supply chains? Yes / No

No.

Please explain and provide details, including any actions (a) taken or (b) delayed/suspended, by you.