RioTinto

Response to BHRRC – Parallel imports

Rio Tinto is deeply concerned by Russia's invasion of Ukraine and, in response, terminated its commercial relationships with Russian businesses.

Rio Tinto takes its sanctions obligations both in respect of Russia and elsewhere, very seriously and uses a broad range of internal mechanisms and tools to screen the third-parties (including customers, joint venture partners and suppliers) for sanctions and trade control exposures.

Rio Tinto undertakes detailed 'Know Your Customer/Supplier' processes for new customers and suppliers, to ensure they meet its standards across multiple areas. In addition, existing customer and vendor lists are regularly screened against relevant sanction lists (including the current Russian sanctions lists) and other watchlists, to identify sanctioned individuals and organisations. Where applicable, we also review other third-party certifications, such as ASI for the Aluminium Industry, where human rights and other compliance requirements are part of the accreditation process.

The majority of Rio Tinto's customer relationships are on a long-term, multi-year basis and involve frequent interactions, including site visits, giving us deeper insights into their business practices. Further information on ethics and compliance in Rio Tinto's value chains can be found at https://www.riotinto.com/en/sustainability/ethics-compliance/value-chain

We can confirm that Rio Tinto has no sales into Armenia, Belarus, Kazakhstan, Kyrgyzstan, Uzbekistan. Rio Tinto does sell products into Turkey, an EU Customs Union member state and NATO member, primarily aluminium.

Rio Tinto's <u>beneficial ownership</u> relationships are published in accordance with the EITI (Extractive Industries Transparency Initiative) Standard. Our share registers are screened regularly against all relevant sanction lists to ensure sanctioned individuals or organisations do not have assets made available to them, such as receiving dividends, receiving proceeds from the sale of shares, or otherwise benefiting from any such shareholdings.

ENDS

15/5/2023