



Business and
Human Rights Resource Centre
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- by e-mail -

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**Response for the Weekly update 11 July 2018 on the Facing Finance report
"Dirty Profits 6: Mining and Extractive Companies, Promises and Progress", May 2018**

Deutsche Bank aims to avoid environmental and social (ES) risks that might result from its business activities. In our publically available ES Policy Framework¹ we explain our approach to identifying risks related to new business opportunities and which measures we undertake in case we see a need for action. Furthermore, we explain which transactions are generally prohibited.

In case issues occur in connection with an existing business relationship, we generally consult the affected stakeholders. In this context, we seek the exchange with the company and the affected people as well as with NGOs, who might dispose of a good knowledge of the conditions on the ground. In our assessment, we also consider the political and legal circumstances in the respective country, to what extent the affected people have the opportunity to defend their own interests. In specific cases, we also make use of independent experts to evaluate the situation. Based on the results of the analysis we decide on the further procedure. However, this is still depending on our contractual obligations as well as on our leverage. As a last resort in some cases, this can lead to a termination of the business relationship.

Due to its legal obligation to treat information on potential or existing client relationships confidential, Deutsche Bank cannot comment on any company or analysis mentioned in this report.

Nevertheless, we want to point out that we have further integrated sustainability aspects in various units of our bank. We strengthened our governance structure to emphasize the importance of considering ESG aspects in our business activities. In 2017 we established a Group-wide sustainability council composed of senior managers across the business. The council will act as advisory body to the Management Board and will further develop and enforce our sustainability approach.

More detailed information are also available in our Non-Financial Report 2017².

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¹ <https://www.db.com/cr/en/docs/DB-ES-Policy-Framework-English.pdf>

² https://www.db.com/cr/en/docs/dbcr2017_entire.pdf