

Broadcom's Statement Against Slavery and Human Trafficking

The California Transparency in Supply Chains Act of 2010 (SB 657), the United Kingdom Modern Slavery Act of 2015 and other regulations require certain businesses to disclose their efforts to address the issues of slavery and human trafficking in their businesses and supply chains.

This Statement Against Slavery and Human Trafficking ("Statement") is made on behalf of Broadcom Inc. and its controlled subsidiaries ("Broadcom") and sets out the steps Broadcom has taken to ensure that slavery and human trafficking are not taking place in our supply chains or in any part of our business.

Broadcom is committed to respecting human rights and avoiding complicity in any human rights abuse throughout our company, our operations, our supply chain, and our communities. We comply with applicable labor laws, including wage and hour laws, and we expect our suppliers to do the same, including complying with the forced labor provisions under the Countering America's Adversaries Through Sanctions Act.

Broadcom depends on third parties for the majority of its sourcing and manufacturing activities. These activities are generally outsourced to a global network of reputable suppliers and contract manufacturers who furnish parts and manufacture, assemble, test and ship our goods. Broadcom is not aware of any issues with child labor, forced labor, slavery or human trafficking in its operations.

Broadcom is committed to ensuring we act ethically and responsibly in preventing the use of child labor, forced labor, slavery or human trafficking in all our dealings. Some of those initiatives we employ in doing this are outlined below:

- **Verification.** Broadcom takes steps to verify, evaluate and address risks of slavery and human trafficking in our business and supply chains. We communicate Broadcom's Supplier Environmental and Social Responsibility Code of Conduct to our suppliers, including during the supplier on boarding process and by posting it on our website. We expect our suppliers to acknowledge and implement Broadcom's Supplier Environmental and Social Responsibility Code of Conduct, which, among other things, prohibits use of child labor, forced labor and slavery, or confirm they have a policy that contains substantially equivalent requirements.
- **Certification.** Our suppliers are contractually bound to comply with forced labor laws through the terms and conditions of our purchase orders and supply agreements. In addition, we also request written acknowledgement by our suppliers that they comply with Broadcom's Supplier Environmental and Social Responsibility Code of Conduct. In addition, Broadcom entities that are U.S. Federal Government prime contractors are also subject to, and comply with, the U.S. Federal Government's regulations on Combatting Trafficking in Persons.
- **Manufacturer and Supplier Audits.** Broadcom endeavors to do business with manufacturers and suppliers who have robust compliance plans in place and many of our contracts with our manufacturers and suppliers contain the right to conduct audits. Broadcom's internal audit activities regularly include audits of our manufacturers and suppliers, which includes a review of their compliance processes and activities. These audits are performed by Broadcom directly, not third parties, and are usually scheduled.
- **Training.** We provide mandatory training to all Broadcom employees on Broadcom's Code of Ethics and Business Conduct, which includes Broadcom's commitment to respecting human rights, avoiding complicity in any human rights abuse in the company, our operations, our supply chain and our communities, and complying with all applicable labor laws, including wage and hour laws. In addition, we train applicable employees on compliance with forced labor laws.

- **Internal Accountability.** Broadcom's Code of Ethics and Business Conduct mandates that our employees and contractors comply with all applicable laws, which include laws against the use of forced labor. Any violation of Broadcom's policies and this Statement by an employee or a supplier may be grounds for adverse action, up to and including termination of the employment or business relationship, subject to applicable law. Violation of applicable laws may also result in criminal prosecution of responsible individuals. Broadcom has an Open Door Policy for Reporting Complaints and will not tolerate retaliation against an employee for reporting a concern in good faith or for cooperating with a compliance investigation.

Broadcom's Code of Ethics and Business Conduct embodies our commitment to doing business with the highest standards of ethics and integrity. Broadcom believes in the necessity of ending child labor, forced labor, slavery and human trafficking and encourages our employees, suppliers and business associates to join Broadcom's commitment to promoting human rights worldwide.

Any conduct that may be in violation of Broadcom's policy and this Statement should be reported to:

- The Broadcom Compliance Officer at Compliance.Officer@Broadcom.com
- The Broadcom Compliance Hotline:
 - By Phone: U.S. and Canada: 1-800-422-3240; all other countries: <https://secure.ethicspoint.com/domain/media/en/gui/41361/index.html>
 - Online: www.Broadcom.ethicspoint.com

Broadcom regularly reviews and may update its Code of Ethics and Business Conduct as needed, from time to time, and alert employees to any updates, to reflect changes in the law, Broadcom operations and in recognized best practices, and to reflect Broadcom experience.

Pursuant to the U.K. Modern Slavery Act of 2015, this Statement against Slavery and Human Trafficking is for the Broadcom companies listed below for the fiscal year ending on November 4, 2018¹.



Thomas H. Krause
Director
April 23, 2019

For and on behalf of:

Avago Technologies UK Ltd.
Broadcom Europe Limited
Broadcom UK Ltd.
Broadcom International Pte. Ltd.
Brocade Communications UK Limited
LSI Logic Europe Limited

¹ CA Technologies was acquired by Broadcom Inc. on November 5, 2018 and for purposes of the U.K. Modern Slavery Act of 2015 statement, please refer to CA Technologies' statement at: <https://www.ca.com/content/dam/ca/us/files/supportingpieces/ca-anti-modernslavery-transparency-statement.pdf>