

**List of documents prepared by and submitted to
SRSG on Business and Human Rights
As of 10 June 2008**

Introduction

In addition to the official reports that the SRSG has submitted to the Human Rights Council (HRC) since his appointment in 2005, he has sought to engage the broader business and human rights community directly. He views knowledge-sharing as one important means of advancing the business and human rights agenda, and to this end arranged early on for the creation of a public website providing access to all relevant documentation.

The SRSG's website is accessible at: <http://www.business-humanrights.org/Gettingstarted/UNSpecialRepresentative>, and is updated on a regular basis. The SRSG is grateful to the Business and Human Rights Resource Centre for administering the website.

This briefing note includes all documentation prepared by or for the SRSG to date. In addition to the SRSG's official reports, it also includes briefing papers prepared by the SRSG's research team as well as submissions from a variety of different stakeholders.

The documents are divided into the following groupings: (1) official documents (reports and annexes) submitted by the SRSG to the HRC; (2) the analyses conducted by the SRSG's team on the subject of state responsibilities to regulate and adjudicate corporate activities under the United Nations' core human rights treaties; (3) documentation related to consultations held by the SRSG; (4) general briefing papers and submissions prepared by or to inform the SRSG; and (5) correspondence with non-government organizations (NGOs).

Documents are provided in chronological order where appropriate and official UN document numbers are included where available. Internet links to the English version of each document are also listed.¹

(1) Official documents: reports and annexes

The SRSG has reported three times to the HRC – in 2006, 2007 and 2008. All documents formally submitted to the HRC to date are listed below, as well as the SRSG's presentations of his reports to the Council and responses from state delegations. Press articles and other media commentary on the SRSG's reports are not included but are available on his website. Feedback from NGOs and corporations is not featured here but is set out in sections 4(c) and 5 below.

¹ In case of problems accessing any of the internet links, please contact Ms. Lene Wendland in the Office of the United Nations High Commissioner for Human Rights at lwendland@ohchr.org.

Name of Document	Official Doc Number	Date	Internet link
2006 Official reports			
Interim report of the SRSG	E/CN.4/2006/97	22 Feb, 2006	<p>Interim report: http://ap.ohchr.org/Documents/dpage_e.aspx?si=E/CN.4/2006/97</p> <p>SRSG's statement to HRC introducing his interim report: http://www.reports-and-materials.org/Ruggie-statement-to-UN-Human-Rights-Council-25-Sep-2006.pdf</p> <p>Discussion of the interim report by the HRC: http://www.reports-and-materials.org/UN-Human-Rights-Council-discussion-of-Ruggie-interim-report-25-26-Sep-2006.doc</p> <p>Video clips of discussion at the HRC: http://www.un.org/webcast/unhrc/archive.asp?qo=060925 http://www.un.org/webcast/unhrc/archive.asp?qo=060926</p>
2007 Official reports			
<u>Main report</u> : Business and Human Rights: Mapping International Standards of Responsibility and Accountability for Corporate Acts	A/HRC/4/035	9 Feb, 2007	<p>Main report: http://ap.ohchr.org/Documents/dpage_e.aspx?si=A/HRC/4/35</p> <p>SRSG's statement to the HRC: Video available at http://www.business-humanrights.org/Documents/RuggieHRC2007</p> <p>Responses by governments: http://www.reports-and-materials.org/Govt-comments-re-John-Ruggie-report-28-Mar-2007.doc</p> <p>SRSG's responses to questions from governments: Video available at http://www.business-humanrights.org/Documents/RuggieHRC2007</p>
<u>Addendum 1</u> : State responsibilities to regulate and adjudicate corporate activities under the United Nations' core human rights treaties: an overview of treaty body commentaries	A/HRC/4/35/Add.1	13 Feb, 2007	http://ap.ohchr.org/Documents/dpage_e.aspx?si=A/HRC/4/35/Add.1
<u>Addendum 2</u> : Corporate responsibility under international law and issues in extraterritorial	A/HRC/4/35/Add.2	15 Feb, 2007	http://ap.ohchr.org/Documents/dpage_e.aspx?si=A/HRC/4/35/Add.2

regulation: summary of legal workshops			
<u>Addendum 3</u> : Human Rights Policies and Management Practices: Results from questionnaire surveys of Governments and Fortune Global 500 firms	A/HRC/4/35/Add.3	28 Feb, 2007	http://ap.ohchr.org/Documents/dpage_e.aspx?si=A/HRC/4/35/Add.3
<u>Addendum 4</u> : Business recognition of human rights: Global patterns, regional and sectoral variations	A/HRC/4/35/Add.4	8 Feb, 2007	http://ap.ohchr.org/Documents/dpage_e.aspx?si=A/HRC/4/35/Add.4
<u>Companion report</u> : Human rights impact assessments - resolving key methodological questions	A/HRC/4/74	5 Feb, 2007	http://ap.ohchr.org/Documents/dpage_e.aspx?si=A/HRC/4/74

2008 Official reports			
<u>Main report</u> : Protect, Respect and Remedy: a Framework for Business and Human Rights	A/HRC/8/5	7 April, 2008	Main report http://www.reports-and-materials.org/Ruggie-report-7-Apr-2008.pdf
			SRSG's presentation to the Human Rights Council: http://www.business-humanrights.org/Documents/Ruggie-Human-Rights-Council-3-Jun-2008.pdf
			State responses to presentation See generally: http://www.unog.ch/unog/website/news_media.nsf/(httpNewsByYear_en)/7A26BE393B4820ABC125745D005B84DE?OpenDocument
			SRSG's response to State questions: Same as above
			SRSG's presentation on mandate review http://www.reports-and-materials.org/Ruggie-statement-mandate-review-5-Jun-2008.doc
			State responses to presentation See generally: http://www.unog.ch/unog/website/news_media.nsf/(httpNewsByYear_en)/FA349461C35C01F5C125745F003C30AF?OpenDocument
			SRSG's response to State questions Same as above.
<u>Addendum 1</u> : Summary reports of five multi-stakeholder consultations	A/HRC/8/5/Add.1	23 April, 2008	http://www.reports-and-materials.org/Ruggie-1-addendum-23-April-2008.pdf
<u>Addendum 2</u> : Survey of Scope and Patterns of Alleged Corporate-Related Human Rights Abuse	A/HRC/8/5/Add.2	23 May, 2008	http://www.reports-and-materials.org/Ruggie-2-addendum-23-May-2008.pdf
<u>Companion report</u> : Clarifying the concepts of "sphere of influence" and "complicity"	A/HRC/8/16	15 May, 2008	http://www.reports-and-materials.org/Ruggie-companion-report-15-May-2008.pdf

(2) State responsibilities to regulate and adjudicate corporate activities under the United Nations' core human rights treaties

In 2006 the SRSG's team, with the assistance of the United Nations Office of the High Commissioner for Human Rights, embarked on a project to examine states' obligations in relation to corporate activity under the United Nations' core human rights treaties. Each report maps the scope and content of states parties' responsibilities to regulate and adjudicate the actions of business enterprises under the particular treaty, drawing on commentaries from the relevant treaty body as appropriate.

This series was undertaken to assist the SRSG's work under sub-para (b) of his mandate, which asks him to "elaborate on the role of states in effectively regulating and adjudicating the role of transnational corporations and other business enterprises with regard to human rights, including through international cooperation."

As set out above, a report summarizing the main findings and trends from the treaty-specific reports was submitted by the SRSG to the HRC, as Addendum 1 to his March 2007 report.

In June 2007, the SRSG addressed the sixth Inter-Committee meeting of the human rights treaty bodies to discuss the series, and business and human rights issues more broadly. Links to the background paper and summary report for this meeting are included below. The background paper provides an updated view of the main trends from the series.

There are no official UN document numbers for the individual treaty reports. Please also note that the reports were numbered chronologically according to the date of adoption of the relevant treaty rather than the date each report was completed.

Name of Document	Date	Internet link
<u>Report No. 1</u> : International Convention on the Elimination of All Forms of Racial Discrimination	Dec, 2006	http://www.business-humanrights.org/Documents/State-Obligations-Corporate-Acts-CERD-18-Dec-2006.pdf
<u>Report No. 2</u> : International Covenant on Economic, Social and Cultural Rights	May, 2007	http://www.reports-and-materials.org/Ruggie-report-ICESCR-May-2007.pdf
<u>Report No. 3</u> : International Covenant on Civil and Political Rights	June, 2007	http://www.reports-and-materials.org/Ruggie-ICCPR-Jun-2007.pdf
<u>Report No. 4</u> : Convention on the Elimination of All Forms of Discrimination Against Women	Sept, 2007	http://www.business-humanrights.org/Documents/Ruggie-report-CEDAW-Sep-2007.pdf
<u>Report No. 5</u> : Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment	Dec, 2007	http://www.reports-and-materials.org/Ruggie-report-Convention-against-Torture-Dec-2007.pdf
<u>Report No. 6</u> : Convention on the Rights of the Child and its Optional Protocols	July, 2007	http://www.business-humanrights.org/Documents/Ruggie-report-Convention-on-Rights-of-Child-Jul-2007.pdf

Name of Document	Date	Internet link
<u>Report No. 7</u> : International Convention on the Protection of the Rights of All Migrant Workers and Members of Their Families	Jan, 2007	http://www.business-humanrights.org/Documents/SRSG-report-migrant-workers-Jan-2007.pdf
Background paper for the SRSG's address to the sixth Inter-Committee Meeting of the human rights treaty bodies	June, 2007	http://www.reports-and-materials.org/Background-paper-SRSG-treaty-bodies-19-Jun-2007.pdf
Summary report of SRSG's address to the sixth Inter-Committee Meeting of the human rights treaty bodies	June, 2007	http://www.reports-and-materials.org/Ruggie-address-to-treaty-bodies-19-Jun-2007.pdf
<u>Addendum 1 to the SRSG's 2007 report</u> : State responsibilities to regulate and adjudicate corporate activities under the United Nations' core human rights treaties: an overview of treaty body commentaries (A/HRC/4/35/Add.1)	13 Feb, 2007	http://ap.ohchr.org/Documents/dpage_e.aspx?si=A/HRC/4/35/Add.1

*Note also that in April 2008 a report was prepared for the Special Representative on the nature of state roles' to regulate and adjudicate corporate activities within the Inter-American human rights system. See part 4(b) below.

(3) Consultations held by the SRSG

The SRSG has held numerous multi-stakeholder consultations to encourage dialogue and inform his work. In the lead-up to his 2007 report, he convened three regional consultations, four workshops of legal experts, and two sector-specific meetings.

The autumn of 2007 has been a particularly busy time for the SRSG in preparing for his 2008 report. He held five multi-stakeholder consultations addressing various elements of the three “baskets” within which he plans to organize his recommendations to the HRC: the state duty to protect, the corporate responsibility to respect, and the need for more effective grievance mechanisms to populate the relatively barren space between litigation and campaigns against companies.

Set out below are the background papers and summary reports from these consultations. Please note that while Addendum 2 to the SRSG’s 2007 report summarized the 2006 consultations on the attribution of corporate responsibility under international law, as well as the permissible scope of extraterritorial regulation, the complete reports for these consultations are listed below because Addendum 2 provided only the abridged versions. (Only background papers and summary reports are set out below – submissions from NGOs regarding various consultations and the SRSG’s responses are included in section 5.)

The SRSG is grateful to the governments providing financial and logistical support for these consultations. He is also appreciative to the Office of the High Commissioner for Human Rights for its assistance as well as to numerous NGOs and academic institutions which helped to host and organize various events – these parties are acknowledged in the summary reports for each meeting.

The SRSG has also held civil society consultations on five continents, visited the operations of firms in four industry sectors in developing countries and had discussions with representatives of all relevant multilateral institutions and some government officials. Records of these meetings are not publicly available though more information may be obtained from the SRSG as required.

Conference	Date	Location	Docs	Internet link
2005				
Sectoral consultation on Human Rights and the Extractive Industry	10-11 Nov, 2005	Geneva	Presentation by Sir Mark Moody Stuart (Chairman, Anglo American plc)	http://www.unglobalcompact.org/NewsAndEvents/news_archives/2005_11_17.html
			ESCR-Net joint submission	See consultations’ page on the SRSG’s website: http://www.business-humanrights.org/Gettings/tarted/UNSpecialRepresentative
			Summary report (UN Doc. E/CN.4/2006/92)	http://ap.ohchr.org/Documents/dpage_e.aspx?si=E/CN.4/2006/92

Conference	Date	Location	Docs	Internet link
2006				
African Regional Consultation	27-28 March, 2006	Johannesburg	Presentation by Salil Tripathi (International Alert)	http://www.international-alert.org/pdfs/Press%20Releases/Salil_Tripathi_s_peech.pdf
			Summary report	http://www.reports-and-materials.org/Ruggie-consultation-Johannesburg-27-28-Mar-2006.pdf
Asian Regional Consultation	26-27 June, 2006	Bangkok	Briefing paper- Meaningful Change: Raising the Bar in Supply Chain Workplace Standards	http://www.reports-and-materials.org/Ruggie-briefing-paper-for-Thailand-consultation-June-2006.pdf
			Summary report	http://www.reports-and-materials.org/Ruggie-Bangkok-consultation-report-26-27-Jun-2006.pdf
			Joint statement by Asian civil society – page 3 lists all signatories.	http://www.reports-and-materials.org/Asian-civil-society-statement-Ruggie-consultation-27-Jun-2006.pdf Response from SRSG: http://www.reports-and-materials.org/Ruggie-response-to-Asian-civil-society-statement-2-Jul-2006.doc
Expert Legal Workshop on Human rights and Transnational Corporations: Legislation and Government Regulation	15 June, 2006	London	Summary report	http://www.reports-and-materials.org/Chatham-House-legal-workshop-human-rights-transnational-corporations-15-June-2006.doc
Expert Legal Workshop on Corporate Complicity in Human Rights Violations	23-24, Oct, 2006	Oslo	Summary note	http://www.reports-and-materials.org/Corporate-complicity-workshop-Oct-2006.pdf
Expert Legal Workshop on Extraterritorial Legislation as a Tool for Improving the Human Rights Accountability of Transnational Corporations	3-4 Nov, 2006	Brussels	Background paper	http://www.reports-and-materials.org/Olivier-de-Schutter-report-for-SRSG-re-extraterritorial-jurisdiction-Dec-2006.pdf
			Summary report	http://www.business-humanrights.org/Documents/Extraterritorial-legislation-to-improve-accountability-legal-experts-seminar-Brussels-summary-

Conference	Date	Location	Docs	Internet link
				report-3-4-Nov-2006.pdf
Expert Legal Workshop on Attributing Corporate Responsibility for Human Rights Under International Law	17 Nov, 2006	New York	Summary report	http://www.business-humanrights.org/Documents/Workshop-Corp-Responsibility-under-Intl-Law-17-Nov-2006.pdf
2007				
Latin America Regional Consultation	18-19 Jan, 2007	Bogota	Summary report	http://www.reports-and-materials.org/Ruggie-Latin-America-Consultation-report-18-19-Jan-2007.pdf
			Joint statement by Latin American civil society – page 2 lists all signatories.	http://www.reports-and-materials.org/Joint-NGO-declaration-on-Ruggie-Lat-Am-consultation-19-Jan-2007.pdf SRSG's response: http://www.reports-and-materials.org/Ruggie-response-to-Latin-American-civil-society-declaration-29-Jan-2007.pdf
Sectoral Consultation on Human Rights and the Financial Sector	16 Feb, 2007	Geneva	Summary report (UN Doc. A/HRC/4/99)	http://ap.ohchr.org/Documents/dpage_e.aspx?si=A/HRC/4/99
Expert Consultation No. 1 on Accountability Mechanisms for Resolving Complaints and Disputes	11–12 April, 2007	Boston	Background papers	http://www.ksg.harvard.edu/m-rcbg/CSRI/publications/workingpaper_37_Rees.pdf http://www.oecd.org/dataoecd/42/11/38297552.pdf
			Summary report	http://www.ksg.harvard.edu/m-rcbg/CSRI/publications/report_15_accountabilitymechanisms.pdf
SRSG's meeting with UN Special Procedures	19 June, 2007	Geneva	Summary note	http://www.reports-and-materials.org/Ruggie-special-procedures-19-Jun-2007.pdf
Expert Consultation on	5 Nov,	Berlin	Announcement of	http://www.business-humanrights.org/Docume

Conference	Date	Location	Docs	Internet link
Business & Human Rights in Conflict Zones: the Role of Home States	2007		joint project with Global Witness on corporate-related abuses in conflict zones	nts/Ruggie-Global-Witness-announcement-24-Sep-2007.pdf
			Background paper	http://www.reports-and-materials.org/Ruggie-Global-Witness-Berlin-background-paper-5-Nov-2007.pdf
			Summary report	http://www.reports-and-materials.org/Ruggie-Global-Witness-Berlin-report-5-Nov-2007.pdf
Expert Consultation on Improving the Human Rights Performance of Business through Multi-stakeholder Initiatives	6-7 Nov, 2007	The Hague	Announcement of joint project with Clean Clothes Campaign on governance of multi-stakeholder initiatives and implementation of supply chain codes	http://edit.business-humanrights.org/Documents/Ruggie-Clean-Clothes-Campaign-announcement-1-Oct-2007.pdf
			Background paper: Principles that make for effective governance of multi-stakeholder initiatives	http://www.business-humanrights.org/Documents/Principles-for-effective-MSIs-6-7-Nov-2007.pdf
			Summary report	http://www.business-humanrights.org/Documents/MSI-Consultation-6-7-Nov-2007.pdf
Expert Consultation on the Role of States in Effectively Regulating and Adjudicating the Activities of Corporations With Respect to Human Rights	8-9 Nov, 2007	Copenhagen	Background paper	http://www.reports-and-materials.org/Copenhagen-8-9-Nov-2007-background.pdf
			Summary report	http://www.business-humanrights.org/Documents/Ruggie-Copenhagen-8-9-Nov-2007.pdf
Expert Consultation No. 2 on Accountability Mechanisms for Resolving Complaints and Disputes	19-20 Nov, 2007	Boston	Summary report	http://www.reports-and-materials.org/Accountability-mechanisms-workshop-report-Nov-2007.pdf
			Related documents	Rights-compatible grievance mechanisms: a guidance tool for companies and their stakeholders http://www.reports-and-materials.org/Grievance-mechanisms-principles-

Conference	Date	Location	Docs	Internet link
				Jan-2008.pdf Mapping grievance mechanisms in the business and human rights arena http://www.reports-and-materials.org/Rees-Vermijs-Mapping-grievance-mechanisms-Jan-2008.pdf Grievance mechanisms for business and human rights: strengths, weaknesses and gaps http://www.reports-and-materials.org/Rees-Existing-grievance-mechanisms-Jan-2008.pdf
Expert Consultation on the Corporate Responsibility to Respect Human Rights	4-5 Dec, 2007	Geneva	Summary report	http://www.reports-and-materials.org/Ruggie-Geneva-4-5-Dec-2007.pdf

(4) General briefing papers and submissions

The 2007 report drew on some two-dozen research papers prepared by or for the SRSG. Since then, the number of briefing papers, discussion papers and submissions provided to the SRSG by external parties has continued to grow, with the SRSG receiving material from a variety of stakeholders, including NGOs, business associations and law firms. The SRSG's own team has also contributed several research and discussion papers, sometimes in conjunction with other organizations, including the International Finance Corporation.

Where publicly available, these external and internal papers are listed below, grouped depending on the type of author. In rare cases where authors were comfortable with making their work publicly available, but not for attribution, the relevant documents have been listed as being produced by the SRSG's team.

Documents are labelled as discussion papers, briefing papers, reference papers or submissions. By way of explanation, *discussion papers* are shorter papers, generally prepared by the SRSG's team, intended to summarize the current state of play and engender debate on a particular issue. *Briefing papers*, also produced by the SRSG's team, are more detailed research reports examining issues in greater depth. *Reference papers* are not produced by the SRSG's team but have been identified by the SRSG as providing useful analyses of issues falling within the mandate. Those reference papers that were explicitly prepared to inform the SRSG are included below. *Submissions* are papers from external parties that are designed to inform and advise the SRSG, usually containing recommendations rather than descriptive information.

Readers should note that the SRSG expects additional submissions from several parties in the lead up to the 2008 report. These submissions will be highlighted on the SRSG's homepage as "new additions" as they become available.

(a) SRSG's team

Set out below are discussion papers and briefing papers prepared by the SRSG and his team. Note that some of the documents were summarized in the four addenda attached to the SRSG's 2007 report but are listed separately here given that not all of them were reproduced in full in the addenda.

The table below also lists feedback from external parties on documents prepared by the SRSG and his team as well as forthcoming publications.

Document	Date	Public feedback from external parties?	Internet links
2006			
Questionnaire for UN member states Nb: the results of the questionnaire were summarized in addendum 3 to the SRSG's 2007 report, featured in section 1 above.	May, 2006	No	http://www.reports-and-materials.org/Questionnaire-UN-member-states-business-human-rights-May-2006.doc
Discussion paper on Human Rights	18 July,	Joint NGO letter and SRSG's response:	http://www.reports-and-materials.org/Discussion

Document	Date	Public feedback from external parties?	Internet links
Impact Assessments	2006	http://www.reports-and-materials.org/Joint-NGO-letter-to-Ruggie-re-human-rights-impact-assessments-24-Sep-2006.doc http://www.reports-and-materials.org/Ruggie-response-to-Bronson-re-impact-assessments-4-Oct-2006.pdf Joint letter from Socially Responsible Investors: http://www.iccr.org/news/press_releases/pdf%20files/ruggieltr10-10-06.pdf International Business Leaders Forum: http://www.business-humanrights.org/Documents/IBLF-letter-Ruggie-re-HRIA-12-Jan-2007.doc	_paper-human-rights-impact-assessments-Jul-2006.pdf
Discussion paper on Security of People and Assets	21 July, 2006	John O'Reilly (formerly of BP): http://www.reports-and-materials.org/OReilly-comments-on-security-discussion-paper-8-Oct-2006.doc	http://www.reports-and-materials.org/Discussion-paper-security-Jul-2006.pdf
Discussion paper on Revenue Sharing and Fiscal Management	28 July, 2006	The Ethical Funds Company http://www.reports-and-materials.org/Ethical-Funds-submission-Revenue-Transparency-10-Oct-2006.pdf	http://www.reports-and-materials.org/Discussion-paper-revenue-sharing-28-Jul-2006.pdf
Discussion paper on Reporting on Non-Financial Performance	7 Aug, 2006	Joint letter from Socially Responsible Investors: http://www.iccr.org/news/press_releases/pdf%20files/ruggieltr10-10-06.pdf	http://www.reports-and-materials.org/Discussion-paper-reporting-7-Aug-2006.pdf
Briefing paper on Human Rights Policies & Management Practices of Fortune Global 500 Firms: Results of a Survey	1 Sept, 2006	OECD and SRSg's response http://www.oecd.org/dataoecd/42/11/38297552.pdf http://www.reports-and-materials.org/Ruggie-reply-to-OECD-Bonucci-7-Oct-2006.pdf Financial Times http://www.ft.com/cms/s/0/77d77060-3956-11db-a21d-0000779e2340.html?nckick_ch eck=1	http://www.reports-and-materials.org/Ruggie-survey-Fortune-Global-500.pdf
Briefing paper on Business Recognition of Human Rights: Global Patterns, Regional & Sectoral Variations	12 Dec, 2006	No	http://www.reports-and-materials.org/Business-Recognition-of-Human-Rights-12-Dec-2006.pdf

Document	Date	Public feedback from external parties?	Internet links
2007			
Business and Human Rights: The Evolving International Agenda Note: this article was prepared by the SRSG for the American Journal of International Law and is included in its October 2007 edition.	27 June, 2007	No	http://ksgnotes1.harvard.edu/Research/wpapers/nf/rwp/RWP07-029
Addendum to briefing paper on Business Recognition of Human Rights: Global Patterns, Regional & Sectoral Variations Human Rights Policies of Chinese Companies - Results from a Survey	Sept, 2007	No	http://www.business-humanrights.org/Documents/Ruggie-China-survey-Sep-2007.pdf
By Invitation Submission to Ethical Corporation Magazine: "Standards and Practices – Guiding Principles for Business and Human Rights"	6 Sept, 2007	Sir Geoffrey Chandler (Founder Chair of Amnesty International UK Business Group): http://www.ethicalcorp.com/content.asp?ContentID=5420	http://www.ethicalcorp.com/content.asp?ContentID=5353
By Invitation Submission to Ethical Corporation Magazine: "Voluntary initiatives – Helping the laggards join the race"	7 Oct, 2007	No	http://www.ethicalcorp.com/content.asp?ContentID=5428
By Invitation Submission to Ethical Corporation Magazine: "Business and Human Rights – Beyond Corporate Spheres of Influence"	12 Nov, 2007	No	http://www.ethicalcorp.com/content.asp?ContentID=5504
By Invitation Submission to Ethical Corporation Magazine: "Foreign Direct Investment – Balancing Risks for Global Investors" Nb: prepared in conjunction with a joint project with the International Finance Corporation (IFC) on investment contracts and human rights.	11 Dec, 2007	No	Submission: http://www.ethicalcorp.com/content.asp?ContentID=5565&ContTypeID=2 Press release on joint project with IFC: http://www.ifc.org/ifcext/media.nsf/content/SelectedPressRelease?OpenDocument&UNID=21BE753E0EEED227852573300058F692
2008 documents, including forthcoming publications			
By Invitation Submission to Ethical Corporation Magazine: "Human Rights – Powers without Strength (an exploration into the roles states can and should play in the business and human rights space)"	January 2008	No	http://www.ethicalcorp.com/content.asp?ContentID=5626
By Invitation Submission to Ethical	February	No	http://www.ethicalcorp.com/content.asp?Content

Document	Date	Public feedback from external parties?	Internet links
Corporation Magazine: "Dispute Resolution: The Case for Corporate Diplomacy"	2008		ID=5700
Briefing paper on Stabilization Clauses and Human Rights – joint research paper by the IFC and the SRSG	March 2008	No	<p>Consultation draft: http://www.reports-and-materials.org/Stabilization-Clauses-and-Human-Rights-11-Mar-2008.pdf</p> <p>See also Feb 2008 SRSG statement (discussing this project) to UNCITRAL working group on arbitration and conciliation</p> <p>http://www.reports-and-materials.org/Ruggie-statement-UNCITRAL-Feb-2008.pdf</p>
Business and Human Rights: Corporate Recognition and Responsibility (article in China Rights Forum by Christine Bader in her personal capacity)	April 2008	No	http://hrchina.org/public/PDFs/CRF.1.2008/CRF-2008-1_Corporate.pdf
Survey of Scope and Patterns of Alleged Corporate-Related Human Rights Abuse	April 2008	No	http://www.reports-and-materials.org/Ruggie-scope-patterns-of-alleged-abuse-Apr-2008.pdf
By Invitation Submission to Ethical Corporation Magazine: Business and Human Rights – Treaty Road Not Traveled	May 2008	No	http://www.ethicalcorp.com/content.asp?contentid=5887
Business and Human Rights: A Political Scientist's Guide to Survival in a Domain where Lawyers and Activists Reign (presented by John Ruggie at 2008 International Law Association Conference)	May 2008	No	http://www.reports-and-materials.org/Ruggie-ILA-17-May-2008.pdf
Next Steps in Business and Human Rights (presented by John Ruggie at Chatham House)	May 2008	No	http://www.reports-and-materials.org/Ruggie-speech-Chatham-House-22-May-2008.pdf
Results from a survey of national human rights institutions regarding their practices with respect to business and human rights issues – joint study with OHCHR	May 2008	No	http://www.reports-and-materials.org/OHCHR-National-Human-Rights-Institutions-practices-Apr-2008.doc

(b) Civil society and academics

Document	Author	Date	Internet links
2006			
The Role of the Financial Services Sector in Respecting Human Rights	BankTrack	18 Dec, 2006	http://www.banktrack.org/doc/File/policies%20and%20processes/banks%20and%20human%20rights/061218%20letter%20to%20Ruggie%20on%20banks%20and%20human%20rights.pdf
Indigenous Peoples' Rights, Extractive Industries and Transnational and Other Business Enterprises	Forest Peoples Programme & Tebtebba Foundation	29 Dec, 2006	http://www.business-humanrights.org/Documents/Forest-Peoples-Tebtebba-submission-to-SRSG-re-indigenous-rights-29-Dec-2006.pdf
2007			
State duty to protect: the Relevance of Private Finance	BankTrack	Nov, 2007	http://www.business-humanrights.org/Documents/BankTrack-duty-to-protect-Nov-2007.pdf
2008			
Facilitating Specification of the Duty to Protect	Professor John H. Knox	Jan, 2008	http://www.business-humanrights.org/Documents/Knox-Specification-of-Duty-to-Protect-14-Dec-2007.pdf
Export Credit Agencies and the International Law of Human Rights	Halifax Initiative	Jan, 2008	http://www.reports-and-materials.org/Halifax-Initiative-Export-Credit-Agencies-Jan-2008.pdf
Corporate Abuse in 2007: a Discussion Paper on What Changes in the Law Need to Happen	Jennifer Zerk for Corporate Responsibility (CORE) Coalition	Feb, 2008	http://www.corporate-responsibility.org/module_images/corporateabuse_discussionpaper.pdf
Recommendations to Reduce the Risk of Human Rights Violations and Improve Access to Justice	Coopération Internationale pour le Développement et la Solidarité (CIDSE)	Feb, 2008	http://www.reports-and-materials.org/CIDSE-submission-Ruggie-Feb-2008.pdf
International Investment Agreements, Business and Human Rights: Key Issues and Opportunities	Howard Mann, Senior International Law Advisor, International Institute for Sustainable Development	Feb, 2008.	http://www.reports-and-materials.org/IISD-Ruggie-Feb-2008.pdf
Impact of WTO Rules on Business Ability to Fulfill Human Rights Responsibilities and upon the State Duty to Protect	Dr. Susan A. Aaronson (George Washington University)	April, 2008	http://www.reports-and-materials.org/Aaronson-submission-to-Ruggie-2-Apr-2008.pdf
State Responsibilities to	Cecilia Anicama	April, 2008	http://www.reports-and-materials.org/State-Responsibilities-under-Inter-American-

Document	Author	Date	Internet links
Regulate and Adjudicate Corporate Activities under the Inter-American Human Rights System: A Report on the American Convention on Human Rights			System-Apr-2008.pdf

(c) Business community

Document	Author	Date	Internet links
2006			
First submission by the International Council on Mining & Metals (ICMM)	ICMM	March, 2006	http://www.reports-and-materials.org/ICMM-submission-Ruggie-Mar-2006.pdf
Second submission by the ICMM	ICMM	Oct, 2006	http://www.icmm.com/uploads/1469ICMM-BHR-2ndsubmissionFINAL.pdf
Business and Human Rights: The Role of Business in Weak Governance Zones	International Organization of Employers (IOE), International Chamber of Commerce (ICC) and the Business and Industry Advisory Committee to the OECD (BIAC)	Dec, 2006	Submission: http://www.reports-and-materials.org/Role-of-Business-in-Weak-Governance-Zones-Dec-2006.pdf
			Cover letter from the IOE, ICC & BIAC: http://www.reports-and-materials.org/Letter-to-Ruggie-from-IOE-ICC-BIAC-21-Dec-2006.pdf
			Statement by the SRSG: http://www.reports-and-materials.org/Ruggie-statement-Jan-2007.pdf
2007			
Third submission by the ICMM	ICMM	Nov, 2007	Submission: http://www.icmm.com/uploads/1774ICMM-bhr-3rdRuggieSubmission-Finaltoweb.pdf
			Statement by the SRSG: http://www.business-humanrights.org/Documents/Ruggie-re-ICMM-3rd-submission-14-Jan-2008.doc
2008			
Response to SRSG's 2008 report	IOE, ICC and BIAC	May, 2008	http://www.reports-and-materials.org/Letter-IOE-ICC-BIAC-re-Ruggie-report-May-2008.pdf
Response to SRSG's 2008 report	Business Leaders' Initiative on Human Rights	May, 2008	http://www.reports-and-materials.org/BLIHR-statement-Ruggie-report-2008.pdf
Response to SRSG's 2008 report	Socially responsible investors – see p. 2 – 4 for signatories	June 2008	http://www.reports-and-materials.org/SRI-letter-re-Ruggie-report-3-Jun-2008.pdf

(d) Law firms

Document	Author	Date	Internet links
2006			
Reference paper on Corporations and Human Rights in the Asia-Pacific Region	Allens Arthur Robinson (Australia)	Aug, 2006	http://www.reports-and-materials.org/Legal-brief-on-Asia-Pacific-for-Ruggie-Aug-2006.pdf

Document	Author	Date	Internet links
2007			
Discussion paper on Trends in the Use of Corporate Law and Shareholder Activism to Increase Corporate Responsibility and Accountability for Human Rights	Fried Frank (United States)	Dec, 2007	Memo: http://www.business-humanrights.org/Documents/Fried-Frank-Memo-Dec-2007.pdf Appendix - Survey of Social & Environmental shareholder proposals 2006-2007: http://www.business-humanrights.org/Documents/Shareholder-proposal-survey-Dec-2007.XLS
2008			
Comparative report on Corporate Culture as a Basis for the Criminal Liability of Corporations	Allens (Australia)	Feb, 2008	http://www.reports-and-materials.org/Allens-Arthur-Robinson-Corporate-Culture-paper-for-Ruggie-Feb-2008.pdf
Memorandum on Corporate Duty and Human Rights under Australian Law	Allens (Australia)	March, 2008	http://www.reports-and-materials.org/AAR-Ruggie-Mar-2008.pdf
A United Nations Proposal Defining Corporate Social Responsibility for Human Rights	Wachtell, Lipton, Rosen and Katz	May 2008	http://amlawdaily.typepad.com/amlawdaily/files/wachtell_lipton_memo_on_global_business_human_rights.pdf
Corporate Social Responsibility for Human Rights: Comments on the UN Special Representative's Report Entitled "Protect, Respect and Remedy: a Framework for Business and Human Rights"	Weil, Gotshal & Manges LLP	May, 2008	http://www.reports-and-materials.org/Weil-Gotshal-legal-commentary-on-Ruggie-report-22-May-2008.pdf See also the following commentary in American Lawyer discussing both the Weil and Wachtell memos. <ul style="list-style-type: none"> The Millstein-Lipton Debate on UN Human Rights http://amlawdaily.typepad.com/amlawdaily/2008/06/analysis-the-mi.html A Sarbanes-Oxley for Human Rights http://amlawdaily.typepad.com/amlawdaily/2008/06/titans-of-corporo.html

(5) Correspondence with NGOs

The SRSG has been encouraged by the interest taken by NGOs in his mandate and has greatly benefited from extensive dialogue with these parties, both at consultations and through more formal correspondence. Included in section 4 above are the research papers NGOs have submitted to the mandate. Illustrated below is other correspondence from NGOs commenting on and providing general suggestions regarding the work of the mandate. As highlighted below, the SRSG endeavors to respond to correspondence from NGOs where appropriate.

Also listed below is a selection of press releases from NGOs discussing the SRSG's work.

Subject of correspondence	NGO(s)	Date	Internet links	Formal response from SRSG?
2005				
Letter on the work of the mandate	Amnesty International (AI)	16 Sept, 2005	http://www.reports-and-materials.org/Amnesty-letter-to-John-Ruggie-16-Sep-2005.doc	No
2006				
Joint SRI letter on the work of the mandate	Joint letter from Socially Responsible Investors – page 3 lists all signatories.	6 Feb, 2006	http://www.iccr.org/news/press_releases/pdf%20files/ruggieletter020706.pdf	No
Commentary on 2006 Interim report	International Federation for Human Rights (FIDH)	15 March, 2006	http://www.fidh.org/IMG/pdf/business442a.pdf	http://www.reports-and-materials.org/Ruggie-response-to-FIDH-20-Mar-2006.pdf
Commentary on 2006 Interim report	Earthrights International	22 March, 2006	http://www.earthrights.org/legalfeature/ominous_outlook_for_the_un_norms.html	http://www.reports-and-materials.org/Ruggie-response-to-EarthRights-23-Mar-2006.pdf
Commentary on 2006 Interim report	Realizing Rights: the Ethical Globalization Initiative	31 March, 2006	http://www.realizingrights.org/images/stories/Position_on_Ruggie_reportFINAL.pdf	http://www.reports-and-materials.org/Ruggie-response-to-Mary-Robinson-31-Mar-2006.pdf
Commentary on 2006 Interim report	AI	27 April, 2006	http://www.reports-and-materials.org/Amnesty-Intl-comments-on-Ruggie-interim-report-27-Apr-2006.pdf	http://www.reports-and-materials.org/Ruggie-response-to-Amnesty-International-1-May-2006.pdf
Joint NGO comments on 2006 Interim report	This letter was signed by 70 NGOs – page 3 lists all signatories.	18 May, 2006	http://www.reports-and-materials.org/Joint-NGO-comments-on-Ruggie-interim-report-18-May-2006.doc	http://www.reports-and-materials.org/Ruggie-response-to-joint-NGO-letter-22-May-2006.pdf
2007				
Joint NGO Statement to HRC on the SRSG's 2007 report	AI; ESCR-Net; Human Rights Watch; International Commission of Jurists; and FIDH	March, 2007	http://www.reports-and-materials.org/NGO-joint-statement-to-UN-re-Ruggie-report-29-Mar-2007.pdf	No

Subject of correspondence	NGO(s)	Date	Internet links	Formal response from SRSG?
Press release responding to SRSG's 2007 report: "Commentaires sur le rapport du Représentant spécial du Secrétaire général sur les droits de l'homme et les sociétés transnationales"	Centre Europe-Tiers Monde; Women's International. League for Peace & Freedom; and Fédération Syndicale Mondiale	March, 2007	http://www.cetim.ch/fr/interventions_details.php?id=282	No
Commentary on 2007 report	Clean Clothes Campaign	23 March, 2007	http://www.business-humanrights.org/Documents/Clean-Clothes-Campaign-letter-Ruggie-23-Mar-2007.pdf	http://www.business-humanrights.org/Documents/Ruggie-response-Clean-Clothes-Campaign-17-Apr-2007.pdf
Press release responding to SRSG's 2007 report: "Tougher measures needed for corporations on UN rights abuses"	ActionAid	26 March, 2007	http://www.actionaid.org/pages.aspx?PageID=34&ItemID=251	No
Press release responding to SRSG's 2007 report: "UN report shows need for business curbs"	War on Want	28 March, 2007	http://www.waronwant.org/UN+report+shows+need+for+business+curbs+14318.twl	No
NGO's survey of "emblematic" cases of corporate human rights abuses	ESCR-net (letter was a response to correspondence from the SRSG)	5 Sept, 2007	http://www.reports-and-materials.org/ESCR-Net-response-to-Ruggie-5-Sep-2007.pdf	SRSG's letter is available at: http://www.reports-and-materials.org/Ruggie-letter-ESCR-Net-29-Aug-2007.pdf
Joint NGO letter on the work of the mandate	This letter was signed by 198 NGOs – see page 6 for the full list of signatories.	25 October, 2007	http://www.escr-net.org/usr_doc/OpenLetter_Ruggie_FinalEndorsements.pdf	http://www.reports-and-materials.org/Ruggie-response-to-joint-NGO-letter-15-Oct-2007.pdf See also response from Sir Geoffrey Chandler: http://www.reports-and-materials.org/Chandler-open-letter-to-NGO-signatories-of-letter-to-Ruggie-15-Oct-2007.doc
2008				
Commentary on 2008 report	CEDHA (Centre for Human Rights and Environment)	April, 2008	http://www.cedha.org.ar/en/more_information/un_special.php	No
Commentary on 2008 report	Robert Senser, Human Rights for Workers	April, 2008	http://www.business-humanrights.org/Links/Repository/399353/link_page_view	No
Joint NGO statement on 2008 report	This statement was submitted by 11	May, 2008	http://www.reports-and-materials.org/NGO-statement-Ruggie-report-	No

Subject of correspondence	NGO(s)	Date	Internet links	Formal response from SRSR?
	NGOs – see statement for full list		20-May-2008.doc	
Response to joint-NGO statement from Sir Geoffrey Chandler	Sir Geoffrey Chandler	May, 2008	http://www.reports-and-materials.org/Chandler-comment-on-NGO-statement-28-May-2008.doc See also AI response to Sir Chandler: http://www.reports-and-materials.org/Amnesty-response-to-Chandler-2-Jun-2008.doc	No
Commentary on 2008 report	Misereor/Global Policy Forum	June 2008	http://ungcdocuments.goleggroups.com/web/gpf-misereor-comment-on-ruggie-report-2008.pdf?qda=c4L2BV8AAABH54bfagLYA3q7MZzkEDTJ_Pj5YX_WtUkVg5yDc5dHjWG1qiJ7UbTlup-M2XPURDShVOVw9GrmVlRl6j5fz6-iUm2yCHwuYwwnQwm73f7K5d21OW0xyAHEM1QeXGXmWNS	Yes: http://www.reports-and-materials.org/Ruggie-response-to-Misereor-GPF-2-Jun-2008.pdf